



SECTOR 8  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of ) Group Art Unit: 2712  
TARO SUITO ET AL. )  
Appln. No. 09/448,836 ) Examiner: Not Assigned  
Filed: November 23, 1999 )  
For: INFORMATION PROCESSING )  
APPARATUS, INFORMATION )  
PROCESSING METHOD, AND )  
DISTRIBUTION MEDIA )  
2001 Ferry Building  
San Francisco, CA 94111  
415/433-4150

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed January 5, 2000 (copy enclosed), applicants submit the enclosed Combined Declaration for Patent Application and Power of Attorney.

A check in the amount of \$130.00 is enclosed herewith to cover the surcharge for filing missing parts of an application.

Also enclosed is a Request for Correction of the Official Filing Receipt.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

February 4, 2000  
(Date)

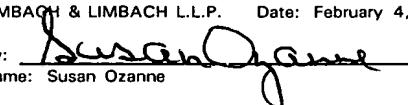
SONY-Q9138  
(S99P1138US00)

By:   
Charles P. Sammut  
Registration No. 28,901  
Attorneys for Applicant(s)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on February 4, 2000.

LIMBACH & LIMBACH L.L.P. Date: February 4, 2000

By:   
Name: Susan Ozanne



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)	Group Art Unit: 2712
TARO SUITO ET AL.	)	Examiner: UNKNOWN
Appln. No.: 09/448,836	)	REQUEST FOR CORRECTION OF THE OFFICIAL FILING <u>RECEIPT</u>
Filed: November 23, 1999	)	
For: INFORMATION PROCESSING	)	2001 Ferry Building
APPARATUS, INFORMATION	)	San Francisco, CA 94111
PROCESSING METHOD, AND	)	415/433-4150
DISTRIBUTION MEDIA	)	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on FEBRUARY 4, 2000.

LIMBACH & LIMBACH L.L.P. Date: FEB. 4, 2000

By: Susan Ozanne  
Name: Susan Ozanne

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Attached is a copy of the Official Filing Receipt (Form PTO-103X) which was received in our offices on January 10, 2000 in connection with the above-identified application.

The Official Filing Receipt is in error. Please amend the receipt as shown in red ink on the attached copy as follows:

Please correct the Attorney Docket No. to read:

SONY-Q9138

PATENT

Please forward the amended Official Filing  
Receipt to:

Charles P. Sammut  
Limbach & Limbach L.L.P.  
2001 Ferry Building  
San Francisco, CA 94111

In the event it is determined that a fee  
pursuant to 37 C.F.R. § 1.19(h) is required in  
connection with this request, the Commissioner is  
hereby authorized to charge payment of any fees  
associated with this communication or credit any  
overpayment to Deposit Account No. 12-1420. A  
duplicate copy of this sheet is enclosed.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

Date: February 4, 2000

By: Charles P. Sammut  
Charles P. Sammut  
Reg. No. 28,901

Attorneys for Applicant(s)

Attorney Docket No. SONY-Q9138 (S99P1138US00)

#5/Formal Drawings  
5/30/00  
S. N.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

TARO SUITO ET AL.

Appln. No. 09/448,836

Filed: November 23, 1999

For: INFORMATION PROCESSING  
APPARATUS, INFORMATION  
PROCESSING METHOD, AND  
DISTRIBUTION MEDIA



Group Art Unit: 2712

Examiner: Not Assigned

**SUBMISSION OF FORMAL DRAWINGS**

2001 Ferry Building  
San Francisco, CA 94111  
415/433-4150

Attention: Official Draftsman  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing in the above-identified application are twenty-nine (29) sheets of formal drawings comprising Figures 1 through 29.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

February 4, 2000

(Date)

SONY-Q9138  
(S99P1138US00)

By: \_\_\_\_\_

Charles P. Sammut  
Registration No. 28,901  
Attorneys for Applicant(s)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attn: Official Draftsman, Assistant Commissioner for Patents, Washington, D.C. 20231, on February 4, 2000.

LIMBACH & LIMBACH L.L.P. Date: February 4, 2000

By: \_\_\_\_\_  
Name: Susan Ozanne

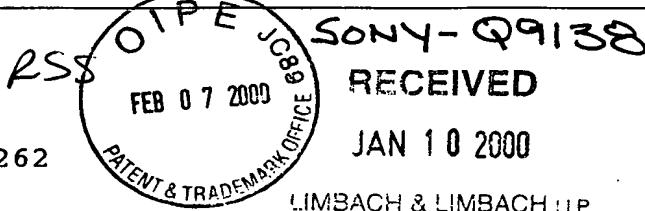
## FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/448,836	11/23/99	2712	\$2,668.00	SONY-09138	29	87	12

CHARLES P SAMMUT ESQ  
LIMBACH & LIMBACH LLP  
2001 FERRY BUILDING  
SAN FRANCISCO CA 94111-4262



LIMBACH &amp; LIMBACH LLP

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) TARO SUITO, KANAGAWA, JAPAN; MASASHI OHTA, TOKYO, JAPAN;  
KATSUNARI MIYATA, TOKYO, JAPAN.

FOREIGN APPLICATIONS- JAPAN P10-339274 11/30/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 01/05/00

TITLE

INFORMATION PROCESSING APPARATUS, INFORMATION PROCESSING METHOD, AND  
DISTRIBUTION MEDIA

PRELIMINARY CLASS: 348

DRAFT STATEMENT DUE: \_\_\_\_\_

FOREIGN FILING LETTER DUE: \_\_\_\_\_

FOREIGN FILING DEADLINE: \_\_\_\_\_

18      5/23/2001

on cal

DATA ENTRY BY: WARREN, EFREM TEAM: 01 DATE: 01/05/00



(See reverse for new important information)



## UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231 #3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/448,836 11/23/99 SUITO

(P-5) 0212/0105

CHARLES E SAMMUT, ESO  
LIMBACH & LIMBACH, L.L.P.  
2001 FERRY BUILDING  
SAN FRANCISCO CA 94111-4262

SONY-69138-

69138

NOT ASSIGNED

DATE MAILED: 2712

01/05/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
 small entity (statement filed)  non-small entity is \$ 130.

1. The statutory basic filing fee is:

missing.  
 insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unsigned.  
 does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER: \_\_\_\_\_

130.00 SP

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**